## In the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application:

## Listing of Claims

- 1. (Currently Amended) A <u>pharmaceutical composition for use as an immunostimulant comprising a</u> polycationic carbohydrate or a pharmaceutically acceptable derivative thereof, wherein the polycationic carbohydrate comprises a water-soluble alkylated chitosan, or a pharmaceutically acceptable salt or derivative thereof, <u>or a mixture thereof</u> a cationic polypeptide, cationic polyamino acid, a quaternary ammonium compound or a mixture thereof, for use as an immunostimulant.
- 2. (Currently Amended) A-The polycationic carbohydrate according to of claim 1 where wherein the polycationic carbohydrate comprises is a water-soluble alkylated chitosan selected from the group consisting of derivative or a salt thereof, such a trimethyl chitosan chloride, N-carboxymethyl chitosan and polyethylene glycol chitosan.
- 3. (Currently Amended) A <u>The</u> polycationic carbohydrate according to <u>of</u> claim 2 "1" in which wherein the alkylated chitosan is trimethylchitosan.
- 5. (Currently Amended) A <u>The pharmaceutical composition according to claim 4 of claim 1 further comprising a cationic polypeptide, cationic polyamino acid, a quaternary ammonium compound or a mixture thereof which further comprises a diluent or earrier.</u>

- 6. (Currently Amended) A <u>The pharmaceutical composition according to of claim 5</u> "1" which comprises particles comprising <u>further comprising a first material capable of forming particles</u>, wherein the pharmaceutical composition is in the form of particles
- (i)—a biologically active agent which is able to produce an immune response in an animal to which it is administered;
  - (ii) a first material capable of forming particles; and
  - (iii) a polycationic carbohydrate according to claim 1.
- 7. (Withdrawn) A pharmaceutical composition comprising particles, each particle comprising
- (i) a biologically active agent which is able to produce an immune response in an animal to which it is administered;
  - (ii) a first material capable of forming particles; and
- (iii) one or more polycationic carbohydrates which have immunostimulant properties, wherein polycationic carbohydrate is distributed throughout the particle including at the surface.
- 8. (Withdrawn) A composition according to claim 7 wherein the polycationic carbohydrate comprises an immunostimulant which is a chitin derivative, a cationic polypeptide, a cationic polyamino acid, a quaternary ammonium compound or a mixture thereof.
- 9. (Withdrawn) A composition according to claim 8 wherein the polycationic carbohydrate comprises a chitin-derivative.
- 10. (Withdrawn) A composition according to claim 9 wherein the chitin derivative is chitosan, chitosan chloride, or chitosan glutamate or a polycationic carbohydrate according to claim 2.
- 11. (Currently Amended) A <u>The</u> composition according to <u>of</u> claim 6 wherein the particle comprises particles comprise microspheres, microparticles or liposomes.

- 12. (Currently Amended) A The composition according to of claim 11 wherein the particle comprises a microparticle particles are microparticles.
- 13. (Currently Amended) A <u>The</u> composition according to of claim 6 wherein the first material <u>capable of forming particles</u> is a polymeric material which has a molecular weight of 100kDa or more.
- 14. (Currently Amended) A <u>The</u> composition according to of claim 6 wherein the first material <u>capable of forming particles</u> comprises poly-(L-lactide).
- 15. (Currently Amended) A <u>The</u> composition according to <u>of</u> claim 6 wherein the ratio of the first material <u>capable of forming particles</u> to the polycationic carbohydrate is from 99:1 to 9:1 w/w.
- 16. (Currently Amended) A <u>The</u> composition according to of claim 6 "37" wherein the biologically active agent is capable of generating a protective immune response against tetanus, diptheria, or *Yersinia pestis*.
- 17. (Currently Amended) A <u>The</u> composition according to <u>of</u> claim 16 wherein the biologically active agent comprises a combination of the V antigen of Y. pestis or an immunologically active-fragment thereof, and the F1 antigen of Y. pestis or an immunologically active fragment thereof.
- 18. (Currently Amended) A <u>The</u> composition according to <u>of</u> claim 6 which is adapted for intranasal application.
- 19. (Currently Amended) A <u>The</u> composition according to <u>of</u> claim 6 which is adapted for parenteral administration.

- 20. (Currently Amended) A <u>The</u> composition according to <u>of</u> claim 6 which further comprises a chemical compound selected from <u>the group consisting of</u>:
  - (A) a polyamino acid,
  - (B) a vitamin or vitamin derivative,
  - (C) cationic pluronics,
  - (D) a clathrate,
  - (E) a complexing agent,
  - (F) cetrimides,
  - (G) an S-layer protein, or
  - (H) methyl-glucamine.
- 21. (Currently Amended) A <u>The</u> composition according to of claim 20 "1" which further comprises comprising a cationic pluronic.
- 22. (Currently Amended) A <u>The</u> composition according to <u>of</u> claim <u>21 20</u> which comprises nanospheres <u>particles</u> of a <u>the</u> cationic pluronic which are surface modified with <u>ehitosan</u> the <u>polycationic carbohydrate</u>.
- 23. (Currently Amended) A method for producing a pharmaceutical composition, which method-comprises encapsulating a biologically active agent in a first material, in the presence of a the polycationic carbohydrate according to of claim 1.
- 24. (Withdrawn) A method for producing a pharmaceutical composition, which method comprises forming an emulsion of a biologically active agent and a first polymeric material, in the presence of an immunostimulant polycationic carbohydrate, and dropping the resultant emulsion into a secondary aqueous phase which also contains an immunostimulant polycationic carbohydrate.

- 25. (Withdrawn) A method according to claim 24 wherein the immunostimulant polycationic carbohydrate is a chitin derivative, cationic polypeptide, cationic polyamino acid, a quaternary ammonium compound or a mixture thereof.
- 26. (Withdrawn-Currently Amended) A method according to claim 25 wherein the polycationic carbohydrate is chitosan, chitosan chloride, chitosan glutamate or a water-soluble alkylated chitin derivative according to claim 2 or claim 3.
- 27. (Withdrawn) A method for producing a pharmaceutical composition which method comprises forming a microsphere, depositing a layer of polycationic carbohydrate thereon, and thereafter adsorbing a biologically active agent.
- 28. (Withdrawn) A method according to claim 27 wherein the immunostimulant polycationic carbohydrate is a chitin derivative, cationic polypeptide, cationic polyamino acid, a quaternary ammonium compound or a mixture thereof.
- 29. (Withdrawn-Currently Amended) A method according to claim 28 wherein the polycationic carbohydrate is chitosan, chitosan chloride, chitosan glutamate or a water-soluble alkylated chitin derivative according to claim 2 or claim 3.
- 30. (Withdrawn) A method of protecting an animal against a pathogen, said method comprising administering to said animal, a protective agent which is able to stimulate the animal's immune system to produce a response which is protective against said pathogen, and an immunostimulant comprising a polycationic carbohydrate according to claim 1.
- 31. (Withdrawn) A method of protecting an animal against a pathogen, said method comprising administering to said animal, a protective agent which is able to stimulate the animal's immune system to produce a response which is protective against said pathogen, in the form of a composition according to claim 6.

- 32. (Withdrawn) A method according to claim 30 wherein the protective agent which is able to stimulate the animal's immune system to produce a response which is protective against said pathogen, and an immunostimulant comprising a polycationic carbohydrate is applied parenterally or to a mucosal surface.
- 33. (Withdrawn) A method according to claim 32 wherein the protective agent and immunostimulant are applied to a mucosal surface.
- 34. (Withdrawn) A method according to claim 33 wherein said mucosal surface is an intranasal surface.
- 35. (Withdrawn) The use of a polycationic carbohydrate or a pharmaceutically acceptable derivative thereof according to claim 1 as an immunostimulant, in the preparation of a vaccine for use in prophylactic or therapeutic treatment.
- 36. (New) The composition of claim 6, wherein the polycationic carbohydrate is distributed throughout the particles including at the surface.
- 37. (New) The composition of claim 6, which further comprises a biologically active agent which is able to produce an immune response in an animal to which it is administered.
- 38. (New) A pharmaceutical vaccine composition for use as an immunostimulant comprising a polycationic carbohydrate, wherein the polycationic carbohydrate comprises a water-soluble alkylated chitosan, or a pharmaceutically acceptable salt or derivative thereof, or a mixture thereof; and
- a first material capable of forming particles, wherein the pharmaceutical vaccine composition is in the form of particles; and

Amendment and Response to Office Action Serial No. 09/937,066 Page 8

wherein the polycationic carbohydrate is distributed throughout the particles including at the surface.

39. (New) A pharmaceutical vaccine composition for use as an immunostimulant comprising a polycationic carbohydrate, wherein the polycationic carbohydrate comprises a water-soluble alkylated chitosan, or a pharmaceutically acceptable salt or derivative thereof, or a mixture thereof; and

a first material capable of forming particles; and a cationic pluronic; wherein the cationic pluronic is in the form of particles, and surfaced modified with the polycationic carbohydrate.